

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: July 30, 2001

ATTY DOCKET NO.  
2001-1079SERIAL NO.  
NEWAPPLICANT  
Yutaka WADA et al.FILING DATE  
July 30, 2001

GROUP

11002 U.S. PTO  
09/916305

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SLM	AA	5,976,204	11/1999	Hammarstrom et al.			
	AB	6,220,944B1	4/2001	Chen			
	AC	5,885,134	3/1999	Shibata et al.			
	AD	6,123,603	9/2000	Tada et al.			
	AE	6,099,393	8/2000	Katagiri et al.			
	AF	5,993,298	11/1999	Duescher			
	AG	5,690,705	11/1997	Holmes et al.			
	AH	5,307,593	5/1994	Lucker et al.			
	AI	4,010,583	3/1977	Highberg			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AJ	56-52183 ✓	5/1981	JP			X
	AK	9-47969 ✓	2/1997	JP			X
	AL	10-329031 ✓	12/1998	JP			X
	AM	10-134316 ✓	5/1998	JP			X
SLM	AN	5-4171 ✓	1/1993	JP			X

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

SLM	AO	U.S. Patent Application 09/301,718, filed April 29, 1999, Noburu SHIMIZU et al., "METHOD AND APPARATUS FOR POLISHING WORKPIECE".
	AP	
	AQ	

EXAMINER

Shantese McDonald

DATE CONSIDERED

4/20/03

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## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SLM	AA	5,658,194	8/1997	Micheletti			
	AB	6,099,394	8/2000	James et al.			
	AC	6,121,143	9/2000	Messner et al.			
	AD	5,135,892	8/1992	Ellison-Hayashi et al.			
	AE	5,897,426	4/1999	Somekh			
	AF	3,841,031	10/1974	Walsh			
	AG	5,972,162	10/1999	Cesna			
	AH	4,445,300	5/1984	Sekiya et al.			
	AI	5,503,592	5/1996	Neumann			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AJ	11-198045	7/1999	JP			X
	AK	9-232257	9/1997	JP			abstract
	AL	4-354676	12/1992	JP			abstract
	AM	5-285850	11/1993	JP			abstract
SLM	AN	5-309566	11/1993	JP			abstract

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

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Shawles Maldonado

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## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SLM	AA	6,050,880	4/2000	Kato et al.			
	AB	6,074,277	6/2000	Arai			
	AC	6,152,806	11/2000	Nystrom			
SLM	AD	6,062,958	5/2000	Wright et al.			
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## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
SLM	AJ	1-140967	6/1989	JP			abstract
	AK	58-143948	8/1983	JP			abstract
SLM	AL	0123456	1/2000	EP			
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## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

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Shantae Neeson

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3723

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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SLM	AJ	56-52183	5/1981	JP			X
	AK	9-47969	2/1997	JP			X
	AL	10-329031	12/1998	JP			X
	AM	10-134316	5/1998	JP			X
	AN	5-4171	1/1993	JP			X
	AO	11-198045	7/1999	JP			X
SLA	AP	9-174428 ✓	7/1997	JP			X

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

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Shantese McDaniel

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3723

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
OLPE DEC 10 2001	AA	6,180,020	1/2001	Moriyama et al.			
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	AC						
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	AE						
	AF						
	AG						
	AH						
	AI						

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	AJ						
	AK						
	AL						
	AM						
	AN						

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

SLM	AO	U.S. Patent Application Serial No. 09/560,562, filed April 28, 2000, (Kazuto HIROKAWA et al.).
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Sheet 1 of 1

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
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SLA	AA	5,782,675	1/1998	Southwick			
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	AE						
	AF						
	AG						
	AH						
	AI						

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	AL							
	AM							
	AN							

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.